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01-19-01

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PTO/SB/05 (08-00)

Approved for use through 10/31/2002 OMB 0651-0032
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01/17/01
109/784566
10/17/01

UTILITY PATENT APPLICATION TRANSMITTAL

(Only for new nonprovisional applications under 37 CFR 1.53(b))

| | |
|--|---|
| Attorney Docket No. | 8386 |
| First Inventor or Application Identifier | Robert Wayne Glenn, Jr. et al. |
| Title | Delivery of Reactive Agents Via Self-Emulsification or Use in Shelf-Stable Products |
| Express Mail Label No. | EK991717566US |

APPLICATION ELEMENTS

See MPEP Chapter 600 concerning utility patent application contents.

Commissioner for Patents
ADDRESS TO: Box Patent Application
Washington, D.C. 20231

- ☒ Fee Transmittal Form (e.g., PTO/SB/17)
(Submit an original, and a duplicate for fee processing)
- ☐ Applicant claims small entity status
(see 37 CFR §1.27)
- ☒ Specification Total Pages [38 + abstract]
(preferred arrangement set forth below)
 - Descriptive Title of the Invention
 - Cross References to Related Applications
 - Statement Regarding Fed sponsored R&D
 - Reference to sequence listing, a table, or a computer program listing appendix
 - Background of the Invention
 - Brief Summary of the Invention
 - Brief Description of the Drawings (if filed)
 - Detailed Description
 - Claim(s)
 - Abstract of the Disclosure
- ☐ Drawing(s) (35 USC §113) Total Sheets ☐
- Oath or Declaration Total pages [2]
 - ☒ Newly executed (original or copy)
 - ☐ Copy from a prior application (37 CFR §1.63(d))
(for continuation/divisional with Box 17 complete)
 - ☐ DELETION OF INVENTORS
Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR §§1.63(d)(2) and 1.33(b).
- ☐ Application Data Sheet. See 37 CFR §1.76
- ☐ CD-ROM or CD-R in duplicate, large table Computer Program (Appendix)
- Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary)
 - ☐ Computer Readable Form (CRF)
 - Specification Sequence Listing on:
 - ☐ CD-ROM or CD-R (2 copies); or
 - ☐ Paper
 - ☐ Statement verifying identity of above copies

ACCOMPANYING APPLICATION PARTS

- ☐ Assignment Papers (cover sheet & document(s))
- ☐ 37 CFR 3.73(b) Statement ☒ Power of Attorney
(when there is an assignee)
- ☐ English Translation Document (if applicable)
- ☐ Information Disclosure ☐ Copies of IDS
Statement (IDS)/PTO-1449 Citations
- ☐ Preliminary Amendment
- ☒ Return Receipt Postcard (MPEP 503)
(Should be specifically itemized)
- ☐ Certified Copy of Priority Document(s)
(if foreign priority is claimed)
- ☐ Other:

17. If a CONTINUING APPLICATION, check appropriate box and supply the requisite information below and in the preliminary amendment, or in an Application Data Sheet under 37 CFR §1.76:

☐ Continuation ☐ Divisional ☐ Continuation-in-part (CIP) of prior application No. 1

Prior application information: Examiner: _____ Group/Art Unit: _____

For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

17. CORRESPONDENCE ADDRESS

☐ Customer Number or Bar Code Label Insert Customer No. or Attach bar code label here) or ☒ Correspondence address below

| | | | | | |
|---------|---|-----------|----------------|----------|----------------|
| NAME | Linda M. Sivik – Box 325 The Procter & Gamble Company Sharon Woods Technical Center | | | | |
| ADDRESS | 11511 Reed Hartman Highway | | | | |
| CITY | Cincinnati | STATE | OH | ZIP CODE | 45241 |
| COUNTRY | US | TELEPHONE | (513) 626-4122 | FAX | (513) 626-1355 |

| | | | |
|-------------------|---|-----------------------------------|------------------|
| Name (Print/Type) | Stephen T. Murphy | Registration No. (Attorney/Agent) | 42,917 |
| Signature |  | Date 1/17/01 | January 17, 2001 |

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, D.C. 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, Box Patent Application, Washington, D.C. 20231

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**FEE TRANSMITTAL
for FY 2001**

Patent fees are subject to annual revision.

Complete if Known

Application Number

Filing Date

January 17, 2001

First Named Inventor

Robert Wayne Glenn, Jr. et al.

Examiner Name

Group/Art Unit

TOTAL AMOUNT OF PAYMENT

(\$ 1,266.00

Attorney Docket No..

8386

**METHOD OF PAYMENT (check one)**

- 1.
- ☒
- The Commissioner is hereby authorized to charge indicated fees and credit any over payments to:

Deposit Account Number 16-2480

Deposit Account Name The Procter & Gamble Company

- ☒ Charge Any Additional Fee ☐ Applicant claims small entity status. See 37 CFR §127 Required Under 37 C.F.R. §§1.16 and 1.17

- 2.
- ☐
- Payment Enclosed:

☐ Check ☐ Credit Card ☐ Money Order ☐ Other
FEE CALCULATION**1. BASIC FILING FEE**

Large Entity Small Entity

| Fee Code | Fee (\$) | Fee Code | Fee (\$) | Fee Description | Fee Paid |
|--------------|----------|----------|----------|------------------------|--------------------------|
| 101 | 710 | 201 | 355 | Utility filing fee | [710.00] |
| 106 | 320 | 206 | 160 | Design filing fee | <input type="checkbox"/> |
| 107 | 490 | 207 | 245 | Plant filing fee | <input type="checkbox"/> |
| 108 | 710 | 208 | 355 | Reissue filing fee | <input type="checkbox"/> |
| 114 | 150 | 214 | 75 | Provisional filing fee | <input type="checkbox"/> |
| SUBTOTAL (1) | | | | | (\$)[710.00] |

2. EXTRA CLAIM FEES

| Total Claims | Extra Claims | Below | Fee Paid |
|---|--------------------------|--------------------------|----------|
| [42] - 20** = [22] x [18] = [396] | | | |
| Independent Claims [5] - 3** = [2] x [80] = [160] | | | |
| Multiple Dependent | <input type="checkbox"/> | <input type="checkbox"/> | |

** or number previously paid, if greater; For Reissues, see below

| Large Fee Code | Entity Fee (\$) | Small Fee Code | Entity Fee (\$) | Fee Description |
|----------------|-----------------|----------------|-----------------|---|
| 103 | 18 | 203 | 9 | Claims in excess of 20 |
| 102 | 80 | 202 | 40 | Independent claims in excess of 3 |
| 104 | 270 | 204 | 135 | Multiple dependent claim, if not paid |
| 109 | 80 | 209 | 40 | **Reissue independent claims over original patent |
| 110 | 18 | 210 | 9 | **Reissue claims in excess of 20 and over original patent |

SUBTOTAL (2)

(\$)[556.00]

FEE CALCULATION (continued)**3. ADDITIONAL FEES**

| Large Fee Code | Entity Fee (\$) | Small Fee Code | Entity Fee (\$) | Fee Description | Fee Paid |
|---------------------------|-----------------|----------------|-----------------|--|--------------------------|
| 105 | 130 | 205 | 65 | Surcharge - late filing fee or oath | <input type="checkbox"/> |
| 127 | 50 | 227 | 25 | Surcharge - late provisional filing fee or cover sheet | <input type="checkbox"/> |
| 139 | 130 | 139 | 130 | Non-English specification | <input type="checkbox"/> |
| 147 | 2,520 | 147 | 2,520 | For filing a request for ex parte reexamination | <input type="checkbox"/> |
| 112 | 920* | 112 | 920* | Requesting publication of SIR prior to Examiner's action | <input type="checkbox"/> |
| 113 | 1,840* | 113 | 1,840* | Requesting publication of SIR after Examiner's action | <input type="checkbox"/> |
| 115 | 110 | 215 | 55 | Extension for reply within 1 st month | <input type="checkbox"/> |
| 116 | 390 | 216 | 195 | Extension for reply within 2 nd month | <input type="checkbox"/> |
| 117 | 890 | 217 | 445 | Extension for reply within 3 rd month | <input type="checkbox"/> |
| 118 | 1,390 | 218 | 695 | Extension for reply within 4 th month | <input type="checkbox"/> |
| 128 | 1,890 | 228 | 945 | Extension for reply within 5 th month | <input type="checkbox"/> |
| 119 | 310 | 219 | 155 | Notice of Appeal | <input type="checkbox"/> |
| 120 | 310 | 220 | 155 | Filing a brief in support of an appeal | <input type="checkbox"/> |
| 121 | 270 | 221 | 135 | Request for oral hearing | <input type="checkbox"/> |
| 138 | 1,510 | 138 | 1,510 | Petition to institute a public use proceeding | <input type="checkbox"/> |
| 140 | 110 | 240 | 55 | Petition to revive - unavoidable | <input type="checkbox"/> |
| 141 | 1,240 | 241 | 620 | Petition to revive - unintentional | <input type="checkbox"/> |
| 142 | 1,240 | 242 | 620 | Utility issue fee (or reissue) | <input type="checkbox"/> |
| 143 | 440 | 243 | 220 | Design issue fee | <input type="checkbox"/> |
| 144 | 600 | 244 | 300 | Plant issue fee | <input type="checkbox"/> |
| 122 | 130 | 122 | 130 | Petitions to the Commissioner | <input type="checkbox"/> |
| 123 | 50 | 123 | 50 | Petitions related to provisional applications | <input type="checkbox"/> |
| 126 | 240 | 126 | 240 | Submission of IDS | <input type="checkbox"/> |
| 581 | 40 | 581 | 40 | Recording each patent assignment per property (times number of properties) | <input type="checkbox"/> |
| 146 | 710 | 246 | 355 | Filing a submission after final rejection (37 CFR § 1.129(a)) | <input type="checkbox"/> |
| 149 | 710 | 249 | 355 | For each additional invention to be examined (37 CFR § 1.129(b)) | <input type="checkbox"/> |
| 179 | 710 | 279 | 355 | Request for Continued Examination (RCE) | <input type="checkbox"/> |
| 169 | 710 | 249 | 355 | Request for expedited examination of a design application | <input type="checkbox"/> |
| Other fee (specify) _____ | | | | | <input type="checkbox"/> |
| Other fee (specify) _____ | | | | | <input type="checkbox"/> |

* Reduced by Basic Filing Fee Paid

SUBTOTAL(3)

(\$)

☐**SUBMITTED BY**

Stephen T. Murphy

Registration No.
(Attorney/Agent)

42,917

Complete (if applicable)

Telephone

(513) 634-4268

Signature

Date
1/17/01

January 17, 2001

WARNING: Information on this form may become public. Credit Card information should not be included on this form. Provide credit card information and authorization on PTO-2038

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